ETD Survey: Baseline Data

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Demographics

n = 161

Location of Participating Institutions

North, 18%
South, 2%
Midwest, 17%
International, 27%
West, 17%

Institutions with ETD Programs

<table>
<thead>
<tr>
<th>Region</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>International</td>
<td>46</td>
<td>5</td>
</tr>
<tr>
<td>West</td>
<td>32</td>
<td>2</td>
</tr>
<tr>
<td>Midwest</td>
<td>32</td>
<td>1</td>
</tr>
<tr>
<td>South</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>North</td>
<td>32</td>
<td>3</td>
</tr>
</tbody>
</table>
Collection Management

How do institutions manage their ETDs?

- Campus Repository: 66%
- External State Rep.: 13%
- External Vendor: 13%
- Other: 8%

Who oversees the campus repository or ETD database?

- Office of Research: 90%
- Library: 4%
- Campus IT: 1%
- Other: 5%
Software Most Used by Institutions

- BePress: Digital Commons
- CONTENTdm
- DSpace
- ETD-db
- Eprints
- Ex Libris: DigiTool
- Other/Developed

- BePress: Digital Commons - 43%
- CONTENTdm - 12%
- DSpace - 12%
- ETD-db - 12%
- Eprints - 8%
- Ex Libris: DigiTool - 4%
- Other/Developed - 2%
- Other/Developed - 4%
Do you report ETD usage statistics on your web site?
Are your institution’s ETDs publically available?
Does your institution temporarily limit ETDs to university-only access?
Why does your institution limit ETDs to university-only access?
Does your institution have embargoed ETDs?

![Bar graph showing the percentage of institutions with embargoed ETDs, categorized by US and international, with some institutions having all embargoed and others having some or none embargoed.](image)
Why does your institution embargo ETDs?
Does your institution have a “preservation plan” for its ETDs?

<table>
<thead>
<tr>
<th></th>
<th>2013</th>
<th>2008</th>
</tr>
</thead>
<tbody>
<tr>
<td>US</td>
<td>72%</td>
<td>69%</td>
</tr>
<tr>
<td>I'nat'l</td>
<td>61%</td>
<td>69%</td>
</tr>
<tr>
<td>All</td>
<td>69%</td>
<td>28%</td>
</tr>
<tr>
<td>Yes</td>
<td>72%</td>
<td>28%</td>
</tr>
<tr>
<td>No</td>
<td>28%</td>
<td>72%</td>
</tr>
</tbody>
</table>
General Comments

• “Institutional lethargy/fear/lack of understanding how technically easy this is”
• “Challenges are both technical and administrative”
• “OA is an ongoing area of real confusion and lack of consensus on this campus”
• “We are beginning to question the practice of automatically sending full text to ProQuest.”